

## Elizabeth Skey

Partner



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Securities Litigation + Enforcement  
White Collar Defense and Investigations

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Palo Alto

Elizabeth has extensive experience with high-stakes internal and government investigations, white collar defense, and complex securities and commercial litigation matters. She represents some of the world's largest companies and their boards, audit committees, special committees, executives, investment advisors and financial institutions in investigations by the SEC, the US Department of Justice, and other governmental agencies, as well as in internal investigations of potential misconduct.

Elizabeth regularly handles investigations involving accounting and disclosure issues, the Foreign Corrupt Practices Act, insider trading and regulations governing investment advisers and broker-dealers. She also has extensive experience providing legal guidance on corporate governance, disclosure obligations and risk mitigation.

### Representative matters

- Represented an Audit Committee of the Board of Directors of a publicly traded tech-enabled manufacturing company in an investigation into accounting improprieties
- Represented a pre-IPO delivery service platform in connection with an SEC investigation into alleged accounting improprieties resulting in no action brought
- Represented a SPAC in connection with an SEC investigation into investor disclosures resulting in no action brought
- Represented a global cloud software company in an SEC, DOJ, and FBI investigation related to insider trading allegations
- Represented the Special Committee of the Board of Directors of a global hardware and software company in an investigation into potential breaches of duties
- Represented the wealth management division of a large financial institution, along with a private wealth manager, in an SEC, DOJ and FBI investigation related to a client's 10b5-1 trading plan
- Represented an investment adviser in connection with SEC and FINRA investigations into potential wrap fee violations
- Represented a broker dealer in a FINRA investigation into potential supervisory violations
- Obtained a dismissal of a Section 10(b) claim, as well as a no admit, no deny settlement of a books and records charge for no penalty, no disgorgement and without any director or officer bar in an SEC civil action on behalf of a former executive of a publicly traded hardware company

## Education

Santa Clara University School of Law  
JD, 2009

University of San Diego  
BA, 2003

## Admissions & Credentials

California

District of Columbia

## Rankings & Accolades

Northern California Super Lawyers: Rising Star