

# Koji Fukumura

### **Partner**



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+1 858 550 6008

San Diego

Securities Litigation + Enforcement

National Security

International Anti-Corruption/FCPA

Crisis Management Shareholder Activism

Israel

Issues and Appeals

CoolevREG

Koji focuses on complex commercial litigation, including the defense of securities class actions, derivative suits, M&A-related class actions and government investigations. He also regularly conducts internal investigations for boards, as well as for their audit or special litigation committees. Chambers USA has noted Koji as "practical, business-savvy and strategic," with "great advocacy skills" and a "wonderful leadership presence." Koji is a former member of Cooley's board of directors and a past chair of the firm's securities litigation practice. He also is a past chair of the American Bar Association's Litigation Section and an immediate-past member of the ABA's Board of Governors, along with its Audit and Finance Committee and the Standing Committee on Audit.

Koji has defended 100+ shareholder representative matters, including securities class actions, derivative suits, M&A class actions, and breach of fiduciary duty class actions. His practice includes representing companies such as Qualcomm, GNC Holdings, IonQ, FIGS, Stratasys, Horizon Therapeutics, Illumina, Tandem Diabetes, GoDaddy and The Honest Company.

Koji frequently leads internal investigations for boards and their audit or special committees. He also counsels public companies, directors, officers and principal shareholders on a wide range of issues relating to corporate governance, antitrust/unfair competition, the Foreign Corrupt Practices Act (FCPA), Securities and Exchange Commission (SEC) and Financial Industry Regulatory Authority (FINRA) enforcement, insider trading, and disclosure obligations under – and compliance with – federal and state securities laws.

### Koji's representative matters include securing victory for:

- Qualcomm at the trial court level in a securities class action alleging that the defendants made
  misstatements about working in good faith to negotiate a potential sale of the company to Broadcom, and
  obtaining, after oral argument, a unanimous decision from the US Court of Appeals for the Ninth Circuit
  affirming the district court's dismissal
- GNC at the trial court level in a securities class action alleging that the company made false or misleading statements regarding certain nutritional supplements that allegedly contained ingredients that could not lawfully be sold in the US, and obtaining, after oral argument, a unanimous decision from the US Court of Appeals for the Eighth Circuit affirming the district court's dismissal
- Horizon Therapeutics at the trial court level in a securities class action brought in relation to the company's patient assistance programs, and convincing the plaintiff to abandon its appeal to the US Court of Appeals for the Second Circuit
- Eagle Pharmaceuticals at the trial court level in a securities class action alleging that the company made misleading statements regarding its anticoagulant drug and the Food and Drug Administration (FDA)

approval process, and convincing the plaintiff to abandon its appeal to the US Court of Appeals for the Third Circuit

- Stratasys at the trial court level in a securities class action alleging that the defendants made false or
  misleading statements regarding a subsidiary's release of new fifth-generation (5G) 3D printers, and
  obtaining, after oral argument, a unanimous decision from the Eighth Circuit affirming the district court's
  dismissal
- Celladon at the trial court level in a securities class action alleging that the defendants misled investors
  concerning its clinical trials for Celladon's leading drug candidate, and obtaining, after oral argument, a
  unanimous decision from the Ninth Circuit affirming the district court's dismissal

Koji is a past chair of the ABA's Litigation Section, as well as a former member of the Litigation Section's Executive Committee and Governing Council. He also is a former co-chair of the Litigation Section's Securities Litigation Committee and served as co-chair of that committee's SEC Enforcement Subcommittee. Koji was appointed as one of two Ninth Circuit representatives for the ABA's Standing Committee on the Federal Judiciary. He is a member of the National Asian Pacific American Bar Association, serving on its executive committee in 2005 and as regional governor from 2001 to 2004. In addition, Koji is on the board of trustees for the Japanese American National Museum and is a council leader for the U.S.-Japan Council (along with serving on the council's audit committee).

#### **Accolades**

- Best Lawyers in America: Lawyer of the Year for Litigation Mergers and Acquisitions San Diego
   (2024)
- Chambers USA: Securities Litigation California Band 1 (2016 2024)
- The Legal 500 US: Securities Litigation Defense (2016 2024)
- Best Lawyers in America: Lawyer of the Year for Bet-the-Company Litigation San Diego (2023)
- Best Lawyers in America: Lawyer of the Year for Securities Litigation San Diego (2013, 2019 and 2024)
- **Best Lawyers in America**: Lawyer of the Year for Litigation Mergers and Acquisitions San Diego (2012 2014, 2018, 2019, 2021 and 2023)
- Best Lawyers in America: Leading Lawyer for Commercial Litigation (2009 2024); Securities Litigation (2009 2024); Litigation Mergers and Acquisitions (2012 2024); Litigation Regulatory Enforcement (2012 2024); Mass Tort Litigation/Class Actions (2020 2024); and Bet-the-Company Litigation (2023 2024)
- Benchmark Litigation: California Litigation Star (2010 2024); National Litigation Star (2024)
- Benchmark Litigation: San Diego Lawyer of the Year (2019 2022)
- Southern California Super Lawyers: Top 10 Lawyers in San Diego (2016, 2020 2024)
- Southern California Super Lawyers: Top 50 Lawyers in San Diego (2009 2013, 2015 2024)
- Southern California Super Lawyers: Leading Lawyer for Securities Litigation (2007 2024)

#### Speaking engagements

Koji has lectured on, written about and appeared on numerous programs discussing a variety of litigation-related issues, including the following:

- Corporate Fraud Seminar, University of Southern California Gould School of Law, April 2020 April 2024 (with Darren Robbins)
- "Hot Developments in the Challenging World of D&O Insurance," ABA Insurance Coverage Litigation

Committee, Tucson, Arizona, March 2024

- Securities Litigation Update, 2024 Professional Liability Underwriting Society (PLUS) D&O Symposium, New York, New York, March 2024
- "Securities Class Action and Shareholder Litigation," Northwestern Pritzker School of Law 51st Annual Securities Regulation Institute, Coronado, California, January 2024
- Cambridge Forum on Securities Litigation (steering committee), 2020 2024
- "Life Sciences IPO Trends and Litigation," Marsh, June 2021
- "D&O Trends in 2021: Hard Market, COVID-19 and Other Factors Impacting Life Sciences Companies,"
   JP Morgan Healthcare Conference, January 2021
- "Securities Class Action and Shareholder Litigation: Key Developments and Trends," Northwestern Pritzker School of Law 48th Annual Securities Regulation Institute, January 2021
- "Best Practices for Video Mediation," FedArb webinar, September 2020 (with Judge Layn Phillips)
- "Welcome to the Future of Securities Litigation," 2020 PLUS D&O Symposium, New York, New York, February 2020 (with Darren Robbins)
- "SEC Enforcement Update," ABA Corporate Counsel CLE Seminar, Palm Springs, California, February 2018
- "How Will the Judiciary Help Shape Trump's Legacy?" 2018 PLUS D&O Symposium, New York, New York, January 2018
- "Role of Lawyers in Adversarial Disputes," ABA/Vietnam Bar Program, Hanoi, Vietnam, January 2018

A list of Koji's additional speaking engagements can be provided on request.

### Education

Temple University James E. Beasley School of Law JD, 1993

University of Colorado at Boulder BA, 1989

University of Colorado at Boulder BA. 1989

# **Admissions & Credentials**

California

Colorado

Pennsylvania

### **Court Admissions**

US District Court for the Central District of California

US District Court for the Eastern District of California

US District Court for the Northern District of California

US District Court for the Southern District of California

US District Court for the Eastern District of Pennsylvania

US District Court for the Middle District of Pennsylvania

# Rankings & Accolades

Best Lawyers in America: Lawyer of the Year for Litigation - Mergers and Acquisitions - San Diego (2024)

Chambers USA: Securities Litigation – California – Band 1 (2016 – 2024)

The Legal 500 US: Securities Litigation - Defense (2016 - 2024)

Best Lawyers in America: Lawyer of the Year for Bet-the-Company Litigation – San Diego (2023)

Best Lawyers in America: Lawyer of the Year for Securities Litigation - San Diego (2013, 2019 and 2024)

Best Lawyers in America: Lawyer of the Year for Litigation – Mergers and Acquisitions – San Diego (2012 – 2014, 2018, 2019, 2021 and 2023)

Best Lawyers in America: Leading Lawyer for Commercial Litigation (2009 – 2024); Securities Litigation (2009 – 2024); Litigation – Mergers and Acquisitions (2012 – 2024); Litigation – Regulatory Enforcement (2012 – 2024); Mass Tort Litigation/Class Actions (2020 – 2024); and Bet-the-Company Litigation (2023 – 2024)

Benchmark Litigation: California Litigation Star (2010 - 2024); National Litigation Star (2024)

Benchmark Litigation: San Diego Lawyer of the Year (2019 - 2022)

Southern California Super Lawyers: Top 10 Lawyers in San Diego (2016, 2020 – 2024)

Southern California Super Lawyers: Top 50 Lawyers in San Diego (2009 - 2013, 2015 - 2024)

Southern California Super Lawyers: Leading Lawyer for Securities Litigation (2007 - 2024)

# Memberships & Affiliations

American Bar Association (ABA)

American Bar Foundation

American Business Trial Lawyers Association

American Inns of Court

Federal Bar Association

Japanese American National Museum

National Asian Pacific American Bar Association

U.S.-Japan Council

Pan Asian Lawyers of San Diego

San Diego County Bar Association

Southwest Center for Asian Pacific American Law

Lawyers Committee for Civil Rights Under Law